

## 8.3 Riemann Mapping Theorem

Jingzhi Tie

University of Georgia

*jtie@uga.edu*

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# Overview

- 1 Necessary condition
- 2 The proof of existence
- 3 Part (A):  $\mathfrak{F}(\Omega)$  is not empty
- 4 Proof of (B)
- 5 Proof of Part (C)

## Necessary condition

What is the necessary condition on an open set  $\Omega$  that guarantee the existence of a conformal map  $F : \Omega \rightarrow \mathbb{D}$ ?

- (1) If  $\Omega = \mathbb{C}$ , and  $F : \Omega \rightarrow \mathbb{D}$ , then  $F$  is entire and bounded. Hence  $F$  is constant. Hence  $\Omega \neq \mathbb{C}$ .
- (2)  $\mathbb{D}$  is connected  $\implies \Omega$  is connected.
- (3)  $\mathbb{D}$  is simply connected  $\implies \Omega$  is simply connected.

### Theorem 1

*Suppose  $\Omega$  is proper and simply connected. If  $z_0 \in \Omega$ , then there exists a unique conformal map  $F : \Omega \rightarrow \mathbb{D}$  such that  $F(z_0) = 0$  and  $F'(z_0) > 0$ .*

# Proof of the corollary

## Corollary 2

*Any two proper simply connected open subsets in  $\mathbb{C}$  are conformally equivalent.*

## Proof.

Suppose  $\Omega_1$  and  $\Omega_2$  are proper and simply connected.  $F_1 : \Omega_1 \rightarrow \mathbb{D}$  and  $F_2 : \Omega_2 \rightarrow \mathbb{D}$  are conformal mappings. Then  $F = F_2^{-1} \circ F_1 : \Omega_1 \rightarrow \Omega_2$  are conformal and its inverse is given by  $F^{-1} = F_1^{-1} \circ F_2 : \Omega_2 \rightarrow \Omega_1$ .  $\square$

# The Proof of the Uniqueness

## Proof.

Suppose  $F : \Omega \rightarrow \mathbb{D}$  and  $G : \Omega \rightarrow \mathbb{D}$  are conformal and satisfy

$$F(z_0) = G(z_0) = 0 \quad \text{and} \quad F'(z_0) > 0, G'(z_0) > 0.$$

Then  $H = F \circ G^{-1} : \mathbb{D} \rightarrow \mathbb{D}$  and  $H(0) = F(G^{-1}(0)) = F(z_0) = 0$  and  $H'(0) > 0$ . This implies  $H(z) = e^{i\theta} z$  and  $H'(z) = e^{i\theta} > 0$ . Hence  $e^{i\theta} > 0$  and  $e^{i\theta} = 1$ .  $H = F \circ G^{-1} = I$  and  $F = G$  □

The main idea of the proof of the existence: We consider all injective holomorphic maps  $f : \Omega \rightarrow \mathbb{D}$  with  $f(z_0) = 0$ . From these we wish to choose an  $f$  so that its image fills out all of  $\mathbb{D}$ , and this can be achieved by making  $f'(z_0) > 0$  as large as possible. In doing this, we shall need to be able to extract  $f$  as a limit from a given sequence of functions.

## The main idea of the proof of the existence

We consider the family of  $\mathfrak{F}$  of holomorphic functions on  $\Omega$  defined as follows:  $g \in \mathfrak{F}(\Omega)$  if and only if

- (a)  $g : \Omega \rightarrow D$  is holomorphic and one-to-one in  $\Omega$ .
- (b)  $|g(z)| < 1$  all  $z \in \Omega$ .
- (c)  $g(z_0) = 0$  and  $g'(z_0) > 0$ .

We need to prove the following statements:

- (A)  $\mathfrak{F}(\Omega)$  is not empty.
- (B) There exists a function  $f \in \mathfrak{F}(\Omega)$  such that  $g'(z_0) \leq f'(z_0)$  for all  $g \in \mathfrak{F}$ .
- (C) If  $f \in \mathfrak{F}(\Omega)$  satisfies (B), then  $f$  is the Riemann mapping, that is,  $f(\Omega) = \mathbb{D}$ ,  $f(z_0) = 0$  and  $f'(z_0) > 0$ .

## The proof of (A)

Since  $\Omega \neq \mathbb{C}$ , we may take a point  $a \notin \Omega$ . Let  $g(z) = \sqrt{z - a}$  be a branch of the square root function of  $z - a \neq 0$ , for  $z \in \Omega$ .  $g(z)$  is holomorphic and one-to-one on  $\Omega$ . Moreover, if  $g$  takes the value  $w$  in  $\Omega$ , then it can not take the value  $-w$ .  $g(\Omega)$  is open and there exists  $r > 0$  with

$$D_r(g(z_0)) = \{w : |w - g(z_0)| < r\} \subset g(\Omega).$$

so we have

$$D_r(-g(z_0)) = \{w : |w + g(z_0)| < r\} \cap g(\Omega) = \emptyset.$$

Hence we have

$$|g(z) + g(z_0)| \geq r \quad \text{for all } z \in \Omega.$$

Then the function

$$g_1(z) = \frac{\epsilon}{g(z) + g(z_0)}$$

is holomorphic and one-to-one in  $\Omega$  and satisfies  $|g_1(z)| < 1$  for all  $z \in \Omega$  provided that  $|\epsilon| < r$ .

## Construction of an element in $\mathfrak{F}(\Omega)$

We can compose  $g_1(z)$  with an automorphism  $\psi$  of the unit disc so that  $g_0 = \psi \circ g_1(z)$  so that

$$g_0(z_0) = 0, \quad g_0'(z_0) > 0, \text{ that is } g_0 \in \mathfrak{F}(\Omega).$$

Here is how to choose the  $\psi$ :

$$\psi(z) = \psi_{g_1(z_0)}(z) = e^{i\theta} \frac{g_1(z_0) - z}{1 - \overline{g_1(z_0)}z}$$

$$\implies g_0(z) = \psi \circ g_1(z) = e^{i\theta} \frac{g_1(z_0) - g_1(z)}{1 - \overline{g_1(z_0)}g_1(z)}$$

We have  $g_0(z_0) = 0$ .



Note that if  $\psi_\alpha(z) = \frac{\alpha-z}{1-\bar{\alpha}z}$ , then  $\psi'_\alpha(z) = -\frac{1-|\alpha|^2}{(1-\bar{\alpha}z)^2}$ . Then chain rule yields

$$g'_0(z) = -e^{i\theta} \frac{1 - |g_1(z_0)|^2}{(1 - \overline{g_1(z_0)}g_1(z))^2} g'_1(z).$$

This implies

$$g'_0(z_0) = -e^{i\theta} \frac{1 - |g_1(z_0)|^2}{(1 - |g_1(z_0)|^2)^2} g'_1(z_0) = -\frac{e^{i\theta} g'_1(z_0)}{1 - |g_1(z_0)|^2}.$$

So we can choose  $\theta$  so that  $-e^{-i\theta} = \arg(g'_1(z_0))$ . Therefore we have

$$g'_0(z_0) = \frac{|g'_1(z_0)|}{1 - |g_1(z_0)|^2} > 0.$$

In the above construction, the only term that is not explicit is  $r$ . We determine  $r$  by the set  $\Omega$ .

## Proof of part (B)

By *Montel theorem*,  $\mathfrak{F}(\Omega)$  is normal since  $|f(z)| < 1$  for all  $f \in \mathfrak{F}(\Omega)$ . Let  $M = \sup\{g'(z_0) : g \in \mathfrak{F}(\Omega)\}$ . Note that  $M \leq \infty$ . Let  $\{g_n(z)\} \subset \mathfrak{F}(\Omega)$  be a sequence of holomorphic function with  $\lim_{n \rightarrow \infty} g'_n(z_0) = M$ . Since  $\mathfrak{F}(\Omega)$  is normal, there exists a subsequence  $\{g_{n_k}(z)\}$  which uniformly convergent on compact subsets of  $\Omega$ , to a function  $f(z)$ ; and in addition

$$\lim_{k \rightarrow \infty} g_{n_k}(z) = f(z) \quad \text{uniformly on compact subset of } \Omega.$$

In particular,  $M < \infty$  and  $f'(z_0) = M$ . Then *Hurwitz theorem* implies  $f(z)$  must be either one-to-one or constant because  $g_{n_k}(z)$  is one-to-one. But  $f'(z_0) = M > 0$ , hence  $f$  is not constant. Moreover

$$f(z_0) = \lim_{k \rightarrow \infty} g_{n_k}(z_0) = 0 \text{ and } f'(z_0) = M > 0, f \text{ is one-to-one} \implies f \in \mathfrak{F}(\Omega)$$

Since  $f'(z_0) = M = \sup\{g'(z_0) : g \in \mathfrak{F}(\Omega)\}$ , We have  $g'(z_0) \leq f'(z_0)$  for all  $g \in \mathfrak{F}(\Omega)$ .

## Proof of Part (C)

We will show that if the function  $f$  in part (B), then  $f(\Omega) = \mathbb{D}$ . Suppose not, then there would exist  $w_0 \in \mathbb{D}$  such that  $w_0 \notin f(\Omega)$ . We will construct a function  $g_1 \in \mathfrak{F}(\Omega)$  such that  $g_1'(z_0) > f'(z_0)$ . This will contradict to (B). The function

$$\frac{f(z) - w_0}{1 - \bar{w}_0 f(z)} = -\psi_{w_0}(f(z))$$

is holomorphic and one-to-one from  $\Omega$  into  $\mathbb{D}$ , and does not vanish on  $\Omega$  since  $w_0 \notin f(\Omega)$ . Therefore there exists a branch of the square root

$$g(z) = \sqrt{\frac{f(z) - w_0}{1 - \bar{w}_0 f(z)}} : \Omega \rightarrow \mathbb{D}, \text{ is one-to-one.}$$

Finally we normalized the function so that its derivative at  $z_0$  is positive

$$g_1(z) = \frac{|g'(z_0)|}{g'(z_0)} \cdot \frac{g(z) - g(z_0)}{1 - \overline{g(z_0)}g(z)}$$

which has the same properties as  $g(z)$ , and in addition, in normalized so that  $g_1(z_0) = 0$  and  $g_1'(z_0) > 0$ .

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The rest is some computation: Note that

$$\frac{d}{dz} \left( \frac{z - \alpha}{1 - \bar{\alpha}z} \right) = \frac{1 - |\alpha|^2}{(1 - \bar{\alpha}z)^2}$$

and Chain rule yields

$$g_1'(z) = \frac{|g'(z_0)|}{g'(z_0)} \cdot \frac{1 - |g(z_0)|^2}{1 - \overline{g(z_0)}g(z)} \cdot g'(z)$$

Hence

$$g_1'(z_0) = \frac{|g'(z_0)|}{1 - |g(z_0)|^2} > 0$$

## Comparing $f'(z_0)$ and $g'_1(z_0)$

We first note that  $f(z_0) = 0$ ,  $f'(z_0) > 0$  and  $(g(z_0))^2 = -w_0$ .

Differentiate with respect to  $z$  of  $(g(z))^2 = \frac{f(z) - w_0}{1 - \bar{w}_0 f(z)}$ , we obtain

$$2g(z)g'(z) = \frac{1 - |w_0|^2}{(1 - \bar{w}_0 f(z))^2} \cdot f'(z)$$

Evaluate at  $z = z_0$ :

$$2g(z_0)g'(z_0) = (1 - |w_0|^2)f'(z_0) \implies |g'(z_0)| = \frac{1 - |w_0|^2}{2|g(z_0)|} f'(z_0).$$

Combine this and the last formula in previous page, we have

$$\begin{aligned} g'_1(z_0) &= \frac{|g'(z_0)|}{1 - |g(z_0)|^2} = \frac{1 - |w_0|^2}{2|g(z_0)|} \cdot \frac{f'(z_0)}{1 - |g(z_0)|^2} \\ &= \frac{1 - |w_0|^2}{2\sqrt{|w_0|}} \cdot \frac{f'(z_0)}{1 - |w_0|} \quad \text{here we have used } (g(z_0))^2 = -w_0 \\ &= \frac{1 + |w_0|}{2\sqrt{|w_0|}} f'(z_0) > f'(z_0) \quad \because \frac{1 + |w_0|}{2\sqrt{|w_0|}} > 1 (\text{Schwartz inequality}) \end{aligned}$$