# Homework problems given by Prof. J. Tate in a course on Algebra 250(a) at Harvard in the Fall of 1985.

### October 22, 1985

- (1) Suppose f(X) is irreducible and  $G_f$  is abelian. Prove that the order of  $G_f$  is the degree of f.
- (2) Suppose K/F is a finite Galois extension. Let G = Gal(K/F).
  - (a) Suppose G acts transitively on a set I. Show that there exists a family  $(\alpha_i)_{i \in I}$ of elements of K such that  $\sigma(\alpha_i) = \alpha_{\sigma i}$  for all  $\sigma \in G$ .
  - (b) Let n be an integer  $\geq 0$  and suppose  $h: G \hookrightarrow S_n$  is an injective group homomorphism. Show that if F has at least n elements, then there is a polynomial  $f(X) \in F[X]$  with distinct roots such that K is a splitting field for f over F and such that  $G_f = h(G) \subset \mathcal{S}_n$ .
- (3) Let  $\alpha_1, \alpha_2, \ldots, \alpha_n$  be "variables" and

$$f(X) = \prod_{i=1}^{n} (X - \alpha_i) = X^n - a_1 X^{n-1} + \dots$$

Put :

$$\beta = \sum_{\pi \in \mathcal{A}_n} \alpha_{\pi(2)} \alpha_{\pi(3)}^2 \dots \alpha_{\pi(n)}^{n-1}, \text{ and } \gamma = \sum_{\pi \in \mathcal{S}_n \setminus \mathcal{A}_n} \alpha_{\pi(2)} \alpha_{\pi(3)}^2 \dots \alpha_{\pi(n)}^{n-1}.$$

- (a) Show that  $(\beta \gamma)^2 = d_f$  (the discriminant of f).
- (b) Let  $b = \beta + \gamma$  and  $c = \beta \gamma$ . How do you know b and c are in  $\mathbb{Z}[a_1, a_2, \dots]$ .
- (c) For n = 2 and 3, give b and c explicitly as elements of  $\mathbb{Z}[a_1, a_2]$ , and of  $\mathbb{Z}[a_1, a_2, a_3]$  (Recall :  $f(X) = X^n a_1 X^{n-1} + a_2 X^{n-2} \dots$ ).
- (d) Now drop the assumption that the  $\alpha_i$  are "variables". Let F be a field,  $a_i \in$  $F, 1 \leq i \leq n$ , and suppose  $d_f \neq 0$ . Let K be a splitting field for f over F, i.e.,  $K = F(\alpha_1, \ldots, \alpha_n)$  and  $G = \operatorname{Gal}(K/F)$ . Show that the fixed field of  $G_f \cap \mathcal{A}_n$  is the splitting field of the quadratic polynomial  $X^2 - bX + c$ , regardless of the characteristic.
- (e)  $\overline{\text{Let } F} = \mathbb{F}_2(t), t \text{ transcendental. Find } G_f \text{ in the following cases :}$ (i)  $f(X) = X^3 + tX + 1;$ (ii)  $f(X) = X^3 + t^3X + t^2;$ 

  - (iii)  $f(X) = X^3 + t^2 X + (t+1);$
- (f) Show that if the  $a_i \in \mathbb{Z}$ , then  $d_f \equiv 0$  or 1 (mod 4) (just express  $d_f$  in terms of b and c).

(4) Let

$$f(X) = X^4 - a_1 X^3 + a_2 X^2 - a_3 X + a_4 = \prod_{i=1}^4 (X - \alpha_i)$$

with  $a_i \in F$ , F a field,  $\alpha_i \in K = F(\alpha_1, \ldots, \alpha_4)$ , the splitting field. Put

$$\beta_1 = \alpha_1 \alpha_2 + \alpha_3 \alpha_4, \beta_2 = \alpha_1 \alpha_3 + \alpha_2 \alpha_4, \beta_3 = \alpha_1 \alpha_4 + \alpha_2 \alpha_3,$$

and let :

$$g(X) = (X - \beta_1)(X - \beta_2)(X - \beta_3)$$
  
=  $X^3 - a_2 X^2 + (a_1 a_3 - 4a_4)X + (a_1^2 a_4 + a_3^2 - 4a_2 a_4)$ 

be the "cubic resolvent" of f. Prove that  $d_f = d_g$  (discriminants). Suppose  $d_f \neq 0$ , and char $F \neq 2$  when necessary. Assume also that f(X) has no root in F.

(a) Show that f has a quadratic factor in F[X] if and only if, for some i,

$$\beta_i \in F$$
 and both  $a_1^2 - 4a_2 + 4\beta_i$  and  $\beta_i^2 - 4a_4$  are squares in  $F$ .

(b)  $G_f = \mathcal{S}_4 \iff g$  has no root in F and  $d_f$  not a square in F;  $G_f = \mathcal{A}_4 \iff g$  has no root in F and  $d_f$  is a square in F.

Suppose from now on, that f is irreducible in F[X] and g has a root, say  $\beta_1$ , in F.

- (c) Show that  $G_f$  is a group of order a power of 2, so is contained in a 2-Sylow subgroup of  $\mathcal{S}_4$ .
- (d) Show  $G_f = V \stackrel{\text{defn}}{=} \{(1), (12)(34), (13)(24), (14)(23)\}$  if and only if g has three roots in f, if and only if  $d_f$  is a square in F.
- (e) Suppose  $G_f$  has exactly one root in F. Show that  $G_f$  is cyclic of order 4, or is dihedral of order 8, and give a criterion to decide which.
- (f) Find  $G_f$ 's for the following five quartic f's :

(i) 
$$x^4 + x^3 + x^2 + x + 1;$$
  
(ii)  $x^4 + x + 1;$   
(iii)  $x^4 + 2;$   
(iv)  $x^4 + 8x + 12;$   
(v)  $x^4 - 2x^2 + 9.$ 

#### October 29, 1985

- (1) Let  $f(X) \in \mathbb{Z}[X]$  be an irreducible quintic. We have seen in class that its group,  $G_f$ , has order 120, 60, 20, 10 or 5, being isomorphic to  $S_5$ ,  $\mathcal{A}_5$ , or to the group of permutations of  $\mathbb{F}_5$  of the form  $x \mapsto ax + b$  for  $b \in \mathbb{F}_5$  and for  $a \in \mathbb{F}_5^{\times}$ , or  $a = \pm 1$ , or a = 1. For i = 0, 1, 2, 3, 5, let  $\mathcal{P}_i$  denote the set of prime numbers p such that the congruence  $f(X) \equiv 0 \mod p$  has exactly i incongruent solutions mod p. Assuming the Tschebotaroff density theorem, make a table giving, for each of the five possible  $G_f$ 's, the density of  $\mathcal{P}_i$  in that case. For example, the density of  $\mathcal{P}_5$  is  $\frac{1}{120}, \frac{1}{60}, \frac{1}{20}, \frac{1}{10}$  or  $\frac{1}{5}$ , i.e., is  $|G_f|^{-1}$  in each case.
- (2) Consider the polynomials  $A(X) = X^5 X^3 2X^2 2X 1$ ,  $B(X) = X^5 X + 3$ ,  $C(X) = X^5 + X^4 - 4X^3 - 3X^2 + 3X + 1$ ,  $D(X) = X^5 - 5$ ,  $E(X) = X^5 + 10X^3 - 10X^2 + 35X - 18$ . Each of these five is irreducible. Their discriminants are :  $d_A = 47^2$ ,  $d_B = 252869$  (prime),  $d_C = 11^4$ ,  $d_D = 5^9$ ,  $d_E = 2^65^811^{12}$ . The following is a table, produced in about 25 hours of running time by my Macintosh, giving for each polynomial the number of primes in  $\mathcal{P}_i$  (cf. Problem 1) among the first 360 primes. (Thus, the sum of each row is 360).

	0 roots	1 root	2 roots	3 roots	5 roots
A	147	180	0	$1 \leftarrow p = 47$	32
B	143	131	58	27	1
C	288	$1 \ (p = 11)$	0	0	71
D	78	272	0	0	0
E	142	88	128	0	2

The primes among the first 360 which are in  $\mathcal{P}_5$ , i.e., for which f(X) splits in  $\mathbb{F}_p$  are in each case as follows :

A: p = 83, 191, 197, 269, 439, 487, 523, 619, 761, 823, 907, 947,

977, 1193, 1277, 1319, 1447, 1481, 1499, 1579, 1693, 1709, 1741, 1811, 1861, 1867, 2053, 2213, 2221, 2273, 2339, 2351.

- B: just p = 1609.
- C: all primes  $p \equiv \pm 1 \pmod{11}$ , i.e.,  $p = 23, 43, 67, 89, \dots$ , 2287, 2309, 2311, 2333, 2377, 2399.
- D: p = 31, 191, 251, 271, 601, 641, 761, 1091, 1861, 2381.
- E: just p = 2063 and 2213.

Armed with all this information (you don't really need much of it), and using the simple form of D(X), determine the groups  $G_B, G_E$  and  $G_D$ . What are the only possibilities for  $G_A$  and  $G_C$ ? Which of these possibilities do you guess is the correct one?

- (3) Guess what the splitting field of  $G_C$  is. Try to prove your guess by guessing the element  $\alpha$  in that field whose minimal polynomial is C(X).
- (4) To prove your guess for  $G_A$  is not so easy without a clue. To show it by brute force, let  $\alpha$  be a root of A(X) and check that in  $\mathbb{Z}[\alpha][X]$ , we have :

$$A(X) = (X - \alpha)(X^2 - c_1X + c_2)(X^2 - d_1X + d_2),$$

where

$$c_1 = 2\alpha^4 - \alpha^3 - 2\alpha^2 - 3\alpha - 2, d_1 = -2\alpha^4 + \alpha^3 + 2\alpha^2 + 2\alpha + 2, \\ c_2 = -\alpha^4 + \alpha^3 + \alpha^2 + \alpha, d_2 = -\alpha^4 + \alpha^3 + 2\alpha + 1.$$

Please don't hand in your verification of this. But answer the following : What is the quadratic field contained in the splitting field of A(X)?

## November 5, 1985

- (1) Let  $F \subset K$  be finite fields. Prove that  $N_{K/F} : K^{\times} \to F^{\times}$  is surjective.
- (2) Let F be the fraction field of an integral domain A. Prove that A is integrally closed (in F)  $\iff$  A has the following property : if f(X) and  $g(X) \in F[X]$  are monic and  $f(X) \cdot g(X) \in A[X]$ , then f(X) and  $g(X) \in A[X]$ .
- (3) Let  $F = \mathbb{Q}(i)$  and  $K = F(2^{\frac{1}{4}}, i^{\frac{1}{4}})$ , where  $2^{\frac{1}{4}}$  is the positive fourth root of 2 and  $i^{\frac{1}{4}} = e^{\frac{2\pi i}{16}}$ . Determine  $\operatorname{Gal}(K/F)$ . Is  $K/\mathbb{Q}$  Galois, and if so, what is its Galois group?
- (4) (a) A ring of the form  $\mathbb{Z}[\alpha]$  has at most two homomorphisms into  $\mathbb{F}_2$ . Why?
  - (b) Let A be the integral closure of  $\mathbb{Z}$  in the field  $\mathbb{Q}(\sqrt{-7}, \sqrt{17})$ . Find a  $\mathbb{Z}$ -base for A (cf. class discussion on October 31).
  - (c) Show that A has four distinct homomorphisms into  $\mathbb{F}_2$  (and consequently there does not exist  $\alpha \in A$  such that  $A = \mathbb{Z}[\alpha]$ ).
- (5) Find three integers a, b, c such that  $\mathbb{Q}(e^{\frac{2\pi i}{4}}) = \mathbb{Q}(\sqrt{a}, \sqrt{b}, \sqrt{c}).$
- (6) (a) Prove that  $\mathbb{R}$  has no non-trivial automorphism (hint : show that an automorphism of  $\mathbb{R}$  is order-preserving automatically).
  - (b) Show that the only automorphisms of  $\mathbb{C}$  which commute with complex conjugation are the identity and complex conjugation.
- (7) Let  $\alpha = (2 + \sqrt{2})(3 + \sqrt{3}) = -\sqrt{6}(1 + \sqrt{2})(1 + \sqrt{3})$  and let  $\theta = \sqrt{-\alpha} = i\sqrt{\alpha}$ . Show  $\mathbb{Q}(\theta)/\mathbb{Q}$  is Galois of degree 8. Determine the structure of  $G = \text{Gal}(\mathbb{Q}(\theta)/\mathbb{Q})$ , and explain why  $\mathbb{Q}(\theta)$  is not the splitting field of any polynomial of degree < 8.
- (8) Suppose  $[F : \mathbb{Q}]$  is odd. Prove that -1 is not a sum of squares of elements of F.
- (9) Suppose F is a field of characteristic p > 0. The map  $x \mapsto x^p x$  is a homomorphism of the additive group of F into itself with kernel  $\mathbb{F}_p$ . Suppose  $a \in F$  is not in the image, i.e., suppose the polynomial  $f(X) = X^p X a$  has no root in F. Show that the splitting field of f(X) is cyclic of degree p over F.

# November 12, 1985

- (1) Let e be an idempotent  $(e^2 = e)$  in a local ring A (a ring with a unique maximal ideal). Show that e = 0 or 1.
- (2) Suppose A is integrally closed in its fraction field F. Prove that the same is true for A[X] (polynomial ring). (Suggestion : F[X] is integrally closed, being a PID).
- (3) (a) Show that an order B in a quadratic extension of  $\mathbb{Q}$  is of the form  $B = \mathbb{Z}[\alpha] = \mathbb{Z} + \mathbb{Z}\alpha$ , where  $\alpha$  is a root of an irreducible monic quadratic polynomial  $f(X) = X^2 + rX + s \in \mathbb{Z}[X]$ .
  - (b) For each such polynomial f, let  $d_f = r^2 4s$  and  $B_f = \mathbb{Z}[\alpha] = \mathbb{Z}[\alpha, \beta]$  where  $\alpha$  and  $\beta$  are the complex (or real) roots of f. Let g be another irreducible monic quadratic polynomial in  $\mathbb{Z}[X]$ . Show

$$B_g \subset B_f \iff d_f \square d_g,$$

where  $a \equiv b$  means by definition that  $b = m^2 a$ , for some  $m \in \mathbb{Z}$ , and when that is the case, show that the additive group  $B_f/B_g$  is *cyclic* of order m, where  $d_g = m^2 d_f$ .

- (c) Thus,  $B_g = B_f \iff d_f = d_g$ . Show that the integers d which occur as discriminants of quadaratic orders, i.e., the integers d of the form  $d_f$  for some f as above, are those  $d \equiv 0$  or 1 (mod 4) such that d is not a perfect square.
- (d) Show that  $B_f$  is integrally closed if and only if

 $d \equiv d_f, d \equiv 0 \text{ or } 1 \mod 4 \Rightarrow d = d_f,$ 

and then the other orders in  $\mathbb{Q}(B_f)$  are the  $B_q$ 's such that  $d_f \square d_q$ .

(e) Suppose f and g are as in (d), say  $d_g = m^2 d_f$ . Show for each prime number p such that  $p \mid d_g$  that there is a unique prime ideal P of  $B_g$  such that  $p \in P$ , and that  $B_g = P + \mathbb{Z}$ , i.e.,  $B_g/P \cong \mathbb{F}_p$ . Show  $P^2 = pB_g$  if  $p \nmid m$ ,  $P^2 = pP$  if  $p \mid m$ .

## November 19, 1985

(1) Let k be a field,  $\mathbb{M}_2(k)$  the ring of  $2 \times 2$  matrices  $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$  with  $a, b, c, d \in k$ , and let A be the subring of all such matrices with c = 0. The maps  $\varphi_1 : \begin{bmatrix} a & b \\ c & d \end{bmatrix} \mapsto a$  and  $\varphi_2 : \begin{bmatrix} a & b \\ c & d \end{bmatrix} \mapsto d$  are homomorphisms of A onto k. Let  $P_j = \operatorname{Ker} \varphi_j$  for j = 1, 2.

Since  $\dim_k A = 3 < \infty$ , A is of finite length as a left A-module.

- (a) Show that  $A/P_1$  and  $A/P_2$  are the only simple A-modules (up to isomorphism).
- (b) Compute  $P_1^2$ ,  $P_1P_2$ ,  $P_2P_1$ ,  $P_2^2$  and  $P_1 \cap P_2$ . Are these the only two sided ideals of A (besides (0) and A)? What are the left ideals?
- (c) What are the multiplicities of  $A/P_1$  and  $A/P_2$  in the left A-module A?
- (d) Show that A is not isomorphic to the direct product of two non-zero rings.
- (2) Consider the cubic polynomials :

$f_1(X) = X^3 + X^2 + 7X - 8,$	$f_2(X) = X^3 - 8X + 15$
$\equiv (X-6)(X+5)(X+2) \pmod{13}$	$\equiv (X+4)(X+6)(X+7) \pmod{17}$
and is irreducible mod 17, 19 and 29	irred. mod 13, 29, 29
$f_3(X) = X^3 + X^2 - 7X + 12$	$f_4(X) = X^3 + 10X + 1$
$\equiv (X-8)(X+8)(X+1) \pmod{19}$	$\equiv (X-2)(X-3)(X-5) \pmod{29}$
irred. mod 13, 17, 29	irred. mod 13, 17, 19

Each of the four polynomials has discriminant -4027, a prime. Nevertheless, the fields  $\mathbb{Q}(\alpha_i)$ ,  $\alpha_i$  a root of  $f_i(X)$ , are pairwise non-isomorphic. Why?

- (3) Suppose f(X) is a monic cubic with coefficients in a finite field k, and suppose the discriminant of f is not a square in k. Prove that f(X) is the product of a linear polynomial and an irreducible quadratic polynomial in k[X]. Now explain why we didn't give congruences mod p = 2, 3, 5, 7, 11 and 23 in problem 2 (there is an arrow to the 'Why?' question of problem 2).
- (4) Let k be a field ( $\mathbb{C}$  or  $\mathbb{R}$  if you wish) and let f(X, Y) be an irreducible polynomial in two variables over k, i.e., a prime element in the U. F. D. k[X, Y]. Let A = k[X, Y]/(f). Then A is Noetherian (Tate writes 'noetherian'), and the nonzero prime ideals of A are maximal. Can you show this? Anyway, taking that for granted, let  $(x_0, y_0) \in k \times k$  be a point on the curve f(X, Y) = 0, i.e., be such that  $f(x_0, y_0) = 0$ , and let P be the corresponding maximal ideal of A, consisting of the polynomials p(X, Y) such that  $p(x_0, y_0) = 0$ , modulo (f). Prove that P is an invertible ideal in A if and only if the point  $(x_0, y_0)$  is a "non-singular" point of the curve, in the sense that not both partial derivatives  $\frac{\partial f}{\partial x}$  and  $\frac{\partial f}{\partial y}$  vanish at  $(x_0, y_0)$ . (Suggestion : Note that the translation  $(X, Y) \mapsto (X - x_0, Y - y_0)$ , which

is an automorphism of k[X, Y], allows you to assume  $(x_0, y_0) = (0, 0)$  without loss of generality).

(5) Let a and b be positive integers such that ab is square free > 1, and let  $E = \mathbb{Q}(\sqrt[3]{ab^2})$ . Let  $\alpha = \sqrt[3]{ab^2}$ , and  $\beta = \sqrt[3]{a^2b} = ab/\alpha$ . Show that if  $a^2 \not\equiv b^2 \pmod{9}$ , then the integral closure of  $\mathbb{Z}$  in E is  $\mathbb{Z} + \mathbb{Z}\alpha + \mathbb{Z}\beta$ , and the discriminant of the field E is  $-27a^2b^2$ . What if  $a^2 \equiv b^2 \pmod{9}$ ?

$$X^7 - 7X + 3$$

(I) Suppose  $f(X) \in \mathbb{Z}[X]$  is monic irreducible of degree 7, has a square discriminant, and has exactly three real roots. Prove that  $G_f$  is isomorphic either to  $\mathcal{A}_7$  or to the group  $G_{168} = \operatorname{GL}(3, \mathbb{F}_2) \approx \operatorname{PSL}(2, \mathbb{F}_7)$ . Note that  $G_{168}$  is isomorphic to a subgroup of  $\mathcal{S}_7$ , in fact of  $\mathcal{A}_7$ , via the action of  $G_{168} = \operatorname{GL}_3(\mathbb{F}_2)$  on the 7 non-zero vectors in  $\mathbb{F}_2^3$ .

(By considering Sylow subgroups, especially the ones for 7, this can be done from scratch without too much trouble. But it is even easier if you know that the only non-abelian simple groups of order < 1000 are  $\mathcal{A}_5$  of order  $60 = 2^2 \cdot 3 \cdot 5$ ,  $G_{168}$  of order  $168 = 2^3 \cdot 3 \cdot 7$ ,  $\mathcal{A}_6$  of order  $360 = 2^3 \cdot 3^2 \cdot 5$ ,  $PSL(2, \mathbb{F}_8)$  of order  $504 = 2^3 \cdot 3^2 \cdot 7$ ,  $PSL(2, \mathbb{F}_{11})$  of order  $660 = 2^2 \cdot 3 \cdot 5 \cdot 11$ ).

(II) Let  $f(X) = X^7 - 7X + 3$  (shown me by Mr. Elkies). It is easy to check that f(X) satisfies the conditions of (I). For example,  $d_f = 3^8 \cdot 7^8$ . Moreover, out of the first 360 primes :

$$p = 2, 3, 5, 7, \dots, 2423$$
 :

- f(X) has no root (mod p) for 104 p's;
- f(X) has 1 root (mod p) for 214 p's;
- f(X) has 3 roots (mod p) for 41 p's;
- f(X) has 7 roots (mod p) for 1 p (namely p = 1879);

Is  $G_f = G_{168}$ , or  $\mathcal{A}_7$ ?

Newton Formulas, Discriminant

$$f(X) = X^n - a_1 X^{n-1} + a_2 X^{n-2} - \dots + (-1)^n a_n = (X - \alpha_1)(X - \alpha_2) \dots (X - \alpha_n).$$
  
Here  $a_{\nu} = \sum_{i_1 < i_2 < \dots < i_{\nu}} \alpha_{i_1} \alpha_{i_2} \dots \alpha_{i_{\nu}}.$  Put  $S_{\nu} = \sum_i \alpha_i^{\nu}.$ 

Then :

$$S_{1} - a_{1} = 0.$$

$$S_{2} - a_{1}S_{1} + 2a_{2} = 0.$$

$$S_{3} - a_{1}S_{2} + a_{2}S_{1} - 3a_{3} = 0.$$
...
$$S_{n} - a_{1}S_{n-1} + \dots \pm a_{n}S_{0} = 0.$$
...
$$S_{m} - a_{1}S_{m-1} + \dots \pm a_{n}S_{m-n} = 0, m \ge n.$$

Proof. Write :

$$\prod_{i=1}^{n} (1 - \alpha_i t) = 1 - a_1 t + a_2 t^2 - \dots = \sum_{\nu \ge 0} (-1)^{\nu} a_{\nu} t^{\nu}.$$

Take the logarithmic derivative formally :

$$\sum_{i} \frac{-\alpha_{i}}{1-\alpha_{i}t} = -\sum_{i,\nu} \alpha_{i}^{\nu+1}t^{\nu} = -\sum_{\nu} S_{\nu+1}t^{\nu} = \frac{-a_{1}+2a_{2}t-3a_{3}t^{2}+\dots}{1-a_{1}t+a_{2}t^{2}-a_{3}t^{3}+\dots},$$

cross-multiply and compare coefficients of  $t^{\nu}$ .

Solving for  $S_n$  we get for  $n \leq 4$ :

$$S_4 = a_1^4 - 4a_1^2a_2 + 2a_2^2 + 4a_1a_3 - 4a_4.$$
  

$$S_3 = a_1^3 - 3a_1a_2 + 3a_3.$$
  

$$S_2 = a_1^2 - 2a_2.$$
  

$$S_1 = a_1.$$
  

$$S_0 = n.$$

10

Further, the discriminant  $d_f$  of f(X) is

$$= d_{f} = \prod_{i < j} (\alpha_{i} - \alpha_{j})^{2} = (-1)^{\frac{n(n-1)}{2}} \prod_{i \neq j} (\alpha_{i} - \alpha_{j}) = (-1)^{\frac{n(n-1)}{2}} \prod_{j} f'(\alpha_{j})$$

$$= \det^{2} \begin{bmatrix} 1 & \alpha_{1} & \alpha_{1}^{2} & \dots & \alpha_{1}^{n-1} \\ 1 & \alpha_{2} & \alpha_{2}^{2} & \dots & \alpha_{2}^{n-1} \\ \dots & \dots & \dots & \dots & \dots \\ 1 & \alpha_{n} & \alpha_{n}^{2} & \dots & \alpha_{n}^{n-1} \end{bmatrix}$$

$$= \det \begin{pmatrix} \begin{bmatrix} 1 & 1 & 1 & \dots & \alpha_{1}^{n-1} \\ \alpha_{1} & \alpha_{2} & \alpha_{3} & \dots & \alpha_{n} \\ \alpha_{1}^{2} & \alpha_{2}^{2} & \alpha_{3}^{2} & \dots & \alpha_{n}^{2} \\ \dots & \dots & \dots & \dots & \dots \\ \alpha_{1}^{n-1} & \alpha_{2}^{n-1} & \alpha_{3}^{n-1} & \dots & \alpha_{n}^{n-1} \end{bmatrix} \cdot \begin{bmatrix} 1 & \alpha_{1} & \alpha_{1}^{2} & \dots & \alpha_{1}^{n-1} \\ 1 & \alpha_{2} & \alpha_{2}^{2} & \dots & \alpha_{2}^{n-1} \\ 1 & \alpha_{3} & \alpha_{3}^{2} & \dots & \alpha_{3}^{n-1} \\ \vdots & \vdots & \vdots & \vdots \\ 1 & \alpha_{n} & \alpha_{n}^{2} & \dots & \alpha_{n}^{n-1} \end{bmatrix} \end{pmatrix}$$

$$= \det \begin{bmatrix} S_{0} & S_{1} & S_{2} & \dots & S_{n-1} \\ S_{1} & S_{2} & S_{3} & \dots & S_{n} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ S_{n-1} & S_{n} & S_{n+1} & \dots & S_{2n-2} \end{bmatrix}$$

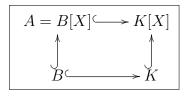
This last can also be written :

$$d_f = (-1)^{\frac{n(n-1)}{2}} R(f, f'),$$

where R is the *resultant*; cf. Lang page 211 (Ch V, §10). **Example :** For  $f(X) = X^n + pX + q$ , we have  $(-1)^{\frac{n(n-1)}{2}} d_f = n^n q^{n-1} + (1-n)^{n-1} p^n$ , as can be seen by writing  $-\alpha_j f'(\alpha_j) = nq - (1-n)p\alpha_j$  and multiplying over j.

# Examples of prime ideals

- (1) Let A be a u.f.d. (unique factorization domain, e.g.,  $A = \mathbb{Z}[x_1, \ldots, x_n]$  or  $A = K[x_1, \ldots, x_n]$ , K a field) and let  $\pi$  be a prime element in A. Show :
  - (a) The principal ideal  $\pi A$  is a prime ideal.
  - (b) Every nonzero prime ideal contains one of the form  $\pi A$ .
  - (c) The ideals of the form  $\pi A$  are the minimal elements in the set of nonzero prime ideals, ordered by inclusion, and they are the only nonzero principal prime ideals.
- (2) Let A be a p.i.d. (principal ideal domain, e.g.,  $A = \mathbb{Z}$  or A = K[X], K a field). Then the ideals of the form  $\pi A$  are maximal, and are the only non-zero prime ideals of A.
- (3) Let B be an integral domain with field of fractions K. Let A = B[X] and let P be a prime ideal of A; then  $P \cap B$  is a prime ideal in B.



- (a) If  $P \cap B = (0)$ , show :
  - (i) PK = P(K[X]) is a prime ideal in AK = K[X].
  - (ii)  $P = PK \cap A$ .
  - (iii) If B is a u.f.d., then either P = (0), or P = f(X)A, where f(X) is a polynomial with coefficients in B, these coefficients having "no" common divisor (i.e., none except units in B), and f(X) being irreducible in K[X]. Moreover f is determined by P up to a unit (invertible element) of B.
- (b) If  $P \cap B = M$ , a maximal ideal of B, then, making the identification  $A/MA = B[X]/MB[X] \approx (B/M)[X] = k[X]$ , where k = B/M, we see that P/MA is a prime ideal in k[X]. Hence show : either P = MA, or P = MA + g(x)A, where g(X) is a polynomial with coefficients in B such that the polynomial  $\overline{g}(X)$  which we obtain by reducing the coefficients of  $g \pmod{M}$  is an irreducible polynomial in k[X]. Moreover g is determined by P up to multiplication by an element of B not in M and addition of a polynomial whose coefficients are in M.
- (4) Apply (3) to the case where B is a p.i.d., and show that the prime ideals P of A are of the following distinct types :

(I) P = (0).

- (II) P = f(X)A, where f is as in 3.a.iii.
- (III)  $P = \pi A$ ,  $\pi$  a prime element of B.
- (IV)  $P = \pi^* A + g(X)$ ,  $\pi^*$  a prime element of B, and g as in 3b, with  $M = \pi B$ .

The ideals of type IV are maximal and are not principal. The ideals of type IV which contain a given  $\pi A$  of type III are those for which  $\pi^* \sim \pi$ , i.e.,  $\pi^* B = \pi B$ .

The ideals of type IV which contain a given f(X)A of type II are those for which  $\overline{g}(X)$  divides  $\overline{f}(X)$  in k[X], where  $k = B/\pi^*B$  and where  $\overline{g}$  and  $\overline{f}$  denote the polynomials obtained from g and f by reducing their coefficients (mod  $\pi^*$ ); hence no ideal of type II is maximal *unless* B has only a finite number of maximal ideals, say  $\pi_1 B, \pi_2 B, \ldots, \pi_m B$ , in which case, the ideals of type II generated by f(X) of the form  $f(X) = 1 + \pi_1 \pi_2 \ldots \pi_m Xh(X)$ , with  $h(X) \in B(X)$  are maximal (because for every  $\pi_i$  we have  $\overline{f} = f(\mod \pi_i) - 1!$ 

- (5) If C is the field of complex numbers (or any algebraically closed field), apply (4) to B = C[Y] to show that the prime ideals P in the ring A = C[X, Y] are of three distinct types :
  - (I) P = (0).
- (II) and (III) P = f(X, Y)A where f(X, Y) is an irreducible polynomial in two variables with complex coefficients, uniquely determined by P up to a nonzero constant factor.
  - (IV)  $P = (X x_0)A + (Y y_0)A$ , where  $x_0$  and  $y_0$  are complex numbers uniquely determined by P.

The only maximal ideals are those of type IV, and the ideals of type IV containing a given f(X, Y)A are those for which  $f(x_0, y_0) = 0$ .

- (6) Let  $A = \mathbb{C}[X, Y, Z]$ . What are the minimal non-zero prime ideals of A? Try to prove that the only maximal ideals of A are those of the form  $(X - x_0, Y - y_0, Z - z_0)$ (special case of Hilbert's Nullstellensatz). The prime ideals of A which are neither maximal nor minimal nonzero are harder to describe. One such is P = (X, Y). But not all of them can be generated by two elements. For example, let  $\varphi : A \to \mathbb{C}[T]$ be the homomorphism defined by  $\varphi(f(X, Y, Z)) = f(T^3, T^4, T^5)$ , and let P be the kernel of  $\varphi$ . Try to show that P is generated by the three elements  $Y^2 - XZ, X^3 - YZ, Z^2 - X^2Y$ , but on the other hand, P cannot be generated by two elements.
- (7) Let M be a maximal ideal in a ring B and let  $A = B/M^n$  for some integer n > 0. Show that the only prime ideal of A is  $M/M^n$ . Examples:  $A = \mathbb{Z}/1024\mathbb{Z}, A = \mathbb{C}[X]/X^n\mathbb{C}[X]$ .
- (8) Let  $\overline{A}$  be the ring of power series  $c_0 + c_1 z + c_2 z^2 + \ldots$  with complex coefficients  $c_i$  which have a nonzero radius of convergence (ring of germs of analytic functions at the origin z = 0 in the complex z-plane). Discuss the prime ideals in A. Do the same for the ring of formal power series A = K[[z]] in one variable z over any field.
- (9) Let E be a compact Hausdorff topological space. Let A be the ring of all continuous real valued functions on E. For each  $x \in E$ , let M(x) be the maximal ideal of A consisting of the functions  $f \in A$  such that f(x) = 0 (i.e., M(x) =Kernel of the homomorphism  $f \rightsquigarrow f(x)$ ). Prove that the map  $x \rightsquigarrow M(x)$  is a homeomorphism of E onto the maximal ideal spectrum of A. (You may use the well-known lemma which states that, given two disjoint closed subsets of E (in particular two distinct points of E), there exists a continuous real valued function on E taking the value 0 on one of the sets and the value 1 on the other - if you

don't like too much abstraction, take E to be the closed interval [0, 1] on the real line.) (Hint : the only hard part is to show that every maximal ideal  $\mathcal{M}$  of A is of the form M(x) for some  $x \in E$ . To do this, suppose the contrary. Then for every  $x \in E$  there exists a function  $f_x \in \mathcal{M}$ , but with  $f_x(x) \neq 0$ . Show that if you replace  $f_x$  by  $g_x f_x$  with a suitable  $g_x$ , you can assume  $f_x \in \mathcal{M}$ , and  $f_x(y) = 1$  for all yin some neighborhood  $U_x$  of x. Now these  $U_x$  cover E, so already a finite number  $U_{x_1}, U_{x_2}, \ldots, U_{x_n}$  cover E etc.).